

Nexgen Institute of Australia

NIA PPI27 International Agent and Reporting Policy and Procedure

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1. Table of Reference

Distribution	All staff and students
Related Entities	Active Transition Training Pty Ltd trading as: <ul style="list-style-type: none"> Nexgen Institute of Australia
Related Documents	<ul style="list-style-type: none"> Education Agent Agreement International Agent list Onboarding International Agents Authorised Education Agent certificate Education Agent Application form Education Agent reference check form Education Agent checklist Education Agent report form
Statutory References	<ul style="list-style-type: none"> National Code of Practice 2018 Standard 4 Australian International Education and training Agent Code of Ethics
Legislative context	<ul style="list-style-type: none"> The ESOS Act 2000 (Commonwealth) The ESOS Regulations 2001 (Commonwealth) Education Services for Overseas Students Legislation Amendment (Tuition Protection and Other Measures) Act 2011 The Migration Act 1958 (Commonwealth) The Migration Regulations 1994 (Commonwealth) Copyright Act 1968

2. Definitions

Agent is a person or organisation accredited by Nexgen Institute of Australia (NIA) with the authority to promote NIA's programs and services to students or prospective students within agreed terms.

Agent Agreement is a written agreement between NIA and the Agent including the Schedules.

CoE is a confirmation of enrollment.

DHA is the abbreviation for Department of Home Affairs.

ESOS Act is the abbreviation for Education Services for Overseas Students Act 2000 of the Commonwealth of Australia.

ESOS Regulations are the Regulations made pursuant to the Education Services for Overseas Students Act 2000 of the Commonwealth of Australia.

National Code is the abbreviation for National Code of Practice for Providers of Education and Training to Overseas Students 2018.

Principle Executive Officer (PEO) is a person defined on PRISMS as the main contact person for NIA. This person may or may not be the Chief Executive Officer (CEO) or CEO.

Prospective Student is a person who intends to become, or who has taken any steps towards becoming, a student an 'overseas student' or 'intending overseas student' as defined by the ESOS Act.

PRISMS is the Provider Registration and International Student Management System.

Student is a person (whether within or outside Australia) who holds a student visa as defined by the ESOS Act, but does not include students of a kind prescribed in the ESOS Regulations.

3. Policy

Nexgen Institute of Australia (NIA) is committed to take all reasonable measures to use education agents that have appropriate knowledge and understanding of the Australian international education industry and do not use education agents who are dishonest or lack integrity.

4. Purpose and Scope

The purpose of this policy is to document NIA's processes for appointing and working with education agents both on and offshore:

1. NIA is committed to appointing and working with Agents demonstrating:
 - a. a comprehensive understanding of students' requirements, Australian culture and Australia's education system;
 - b. an in-depth understanding of the nature of NIA's programs, courses, policies and requirements; and
 - c. honesty, integrity and the highest ethical standards.
2. NIA Policy and Procedure for Appointing, Monitoring and Terminating Education Agents is designed to ensure compliance with all legislative and regulatory requirements established under the:

- a. Education Services for Overseas Students (ESOS) ACT (2000) and Regulations;
- b. National Code of Practice for Providers of Education and Training to Overseas Students 2018.;
- c. Migration Act 1958 and Migration (Education) Act (2007) and associated Regulations; and
- d. The Australian International Education and Training Agent Code of Ethics

3. These requirements are enumerated in the National Code Standard 4:

4.1. The registered provider must enter into a written agreement with each education agent it engages to formally represent it and enter and maintain the education agent's details in PRISMS.

4.2. The written agreement must outline:

4.2.1 the responsibilities of the registered provider, including that the registered provider is responsible at all times for compliance with the ESOS Act and National Code 2018

4.2.2 the registered provider's requirements of the agent in representing the registered provider as outlined in Standard 4.3

4.2.3 the registered provider's processes for monitoring the activities of the education agent in representing the provider, and ensuring the education agent is giving students accurate and up-to-date information on the registered provider's services

4.2.4 the corrective action that may be taken by the registered provider if the education agent does not comply with its obligations under the written agreement including providing for corrective action outlined in Standard 4.4

4.2.5 the registered provider's grounds for termination of the registered provider's written agreement with the education agent, including providing for termination in the circumstances outlined in Standard 4.5

4.2.6 the circumstances under which information about the education agent may be disclosed by the registered provider and the Commonwealth or state or territory agencies.

4.3 A registered provider must require its education agent to:

- 4.3.1 declare in writing and take reasonable steps to avoid conflicts of interests with its duties as an education agent of the registered provider
 - 4.3.2 observe appropriate levels of confidentiality and transparency in their dealings with overseas students or intending overseas students
 - 4.3.3 act honestly and in good faith, and in the best interests of the student
 - 4.3.4 have appropriate knowledge and understanding of the international education system in Australia, including the Australian International Education and Training Agent Code of Ethics.
- 4.4 Where the registered provider becomes aware that, or has reason to believe, the education agent or an employee or subcontractor of that education agent has not complied with the education agent's responsibilities under standards 4.2 and 4.3, the registered provider must take immediate corrective action.
- 4.5 Where the registered provider becomes aware, or has reason to believe, that the education agent or an employee or subcontractor of the education agent is engaging in false or misleading recruitment practices, the registered provider must immediately terminate its relationship with the education agent or require the education agent to terminate its relationship with the employee or subcontractor who engaged in those practices.
- 4.6 The registered provider must not accept students from an education agent if it knows or reasonably suspects the education agent to be:
- 4.6.1 providing migration advice, unless that education agent is authorised to do so under the Migration Act
 - 4.6.2 engaged in, or to have previously engaged in, dishonest recruitment practices, including the deliberate attempt to recruit a student where this clearly conflicts with the obligations of registered providers under Standard 7 (Overseas student transfers)
 - 4.6.3 facilitating the enrolment of a student who the education agent believes will not comply with the conditions of his or her visa
 - 4.6.4 using PRISMS to create CoEs for other than bona fide students.
4. These Policies and Procedures relate to the appointment, monitoring and renewal/termination of approved NIA Agents.
5. These Policies and Procedures apply to all NIA staff involved in

General/Marketing NIA 's education programs and courses to international students, the recruitment of students for those programs and courses, and the management and monitoring of NIA Education Agents.

5. Procedures

When a continuous improvement opportunity is identified the following process should be followed:

- Provide feedback, verbal and/or written, about the improvement recommendation to the relevant General/Marketing Manager/CEO.
- The General/Marketing Manager/CEO will meet with relevant parties/staff members to discuss options for implementation with consideration to risk, impacts, systems, tools, and staff capabilities.
- A risk analysis approach will be undertaken to determine the urgency of the improvement.
- Management responsibility for further action will be allocated depending on the level of risk assigned to each report/task.
- An item will be recorded in the continuous improvement register.
- All relevant staff are informed of the change by email with the relevant resource stored as per record management policy.
- If necessary, the improvement is trialed and reported back to the Senior Management Team within the agreed timeline.
 - o The trial outcome is reviewed for effectiveness and if necessary, the process is amended and re-trialed.

Appointment of Authorised Agents

1. NIA may have a number of approved education agents to represent its interests in defined areas and may approach prospective agents to apply for and will be open to receiving applications from education agents seeking appointment, by signed agreement, as one of its Authorised Agents.
2. All Agent Agreements are made for a two-year period, and are renewable, upon review.
3. All reputable professional agents interested in gaining appointment must comply with the following procedures:
 - a. Contact NIA and submit a completed Education Agent Application Form. The form must have all questions honestly answered. Upon receipt of Education Agent Application Form NIA General/Marketing Manager (or delegate) will conduct a reference on the agent and complete the relevant form (Education Agent reference check form).
 - b. NIA CEO or their nominee, will record and evaluate the provided

- information and performance, and make a provisional assessment of the applicant's suitability for appointment.
- c. The first two nominated Referees of those provisionally assessed as suitable will be sent the Education Agent Reference Check Form, and the completed responses will be assessed. If the referee responses are inconsistent and/or not definitive, a third referee will be contacted and asked to complete a Reference Check.
 - d. NIA CEO (or their nominee) will complete the Education Agent Checklist and make a final assessment as to the applicant's suitability for Appointment as a NIA approved Agent, including the reasons for the recommended appointment (or non-appointment) as a NIA Education Agent.
 - e. If an applicant is assessed as suitable for appointment, NIA's CEO will complete the NIA Education Agent Agreement, including the Schedule, and send a pdf copy to the Agent's head office.
 - f. The terms and conditions of the Agreement include the Agent accepting responsibility and liability for the actions of its own Agents acting in the capacity of NIA sub-Agents.
 - g. If the Agent accepts the terms of the Agreement they will sign and return the Agreement to the NIA CEO.
 - h. NIA CEO will counter sign the Agreement, and send it to the Agent, along with an NIA Authorised Education Agent Certificate.
 - i. The Agent's details and details of the Agreement will be entered on NIA Agents Database, and the Agent's names and relevant details will be published on the NIA Website.
 - j. The Education Agent Checklist will be completed and signed.
 - k. The Agent will be sent copies of all relevant NIA course and General/Marketing materials.

Training of Authorised Agents

1. NIA's CEO or General/Marketing Manager will provide newly appointed Authorised Education Agents comprehensive training in:
 - a. the legislative and regulatory requirements relating to international education in Australia;
 - b. the programs, courses, administrative procedures and forms for NIA.
2. After such training the General/Marketing Manager will prepare a short Post-Training Report identifying any possible areas for further Agent training and development, as well as identifying particular strengths of the Agent. The Report will be filed in the Agent's file and a copy will be sent to the Agent.
3. In the event of an Agent making a formal visit to the NIA premises, the CEO (or General/Marketing Manager) will ensure that the opportunity is taken to:
 - a. Review the Agent's performance against the established performance criteria;
 - b. Identify areas for refresher training;

- c. Refresh the Agent's knowledge and understanding of the legislative and regulatory requirements relating to international education in Australia;
 - d. Refresh the Agent's knowledge and understanding to the range of education and training programs; and
 - e. Refresh the Agent's supply of NIA information packs and promotional materials.
4. After such an Agent visit the General/Marketing Manager (or CEO) will prepare a short Post-Visit Report identifying any possible areas for further Agent training and development, as well as identifying any particular strengths of the Agent. The Report will be filed in the Agent's file and a copy will be sent to the Agent.
 5. The General/Marketing Manager (or CEO) will work with the Agent to address any shortcomings or inefficiencies identified during the visit, with details being placed in the Agents file.
 6. In planning for an overseas trip, the Principal Executive Officer and/or the CEO and/or General/Marketing Manager will identify Agents to be visited, reviewing their performance against the established performance criteria and identifying areas for refresher training. Such training will, as required:
 - a. Refresh the Agent's knowledge and understanding of the legislative and regulatory requirements relating to international education in Australia;
 - b. Refresh the Agent's knowledge and understanding to the range of NIA related education and training programs; and
 - c. Refresh the Agent's supply of NIA information packs and promotional materials.
 7. On returning from such an overseas trip the responsible Officer will prepare a short Post-Visit Report for each Agent visited, identifying any possible areas for further Agent training and development, as well as identifying any particular strengths of the Agent. The Report will be filed in the Agent's file and a copy will be sent to the Agent.
 8. The responsible Officer will work with the Agent to address any shortcomings or inefficiencies identified during the visit, with details being placed in the Agents file.
 9. When there are legislative, regulative and/or administrative changes in laws, regulations, policies and procedures pertaining to international students, NIA will provide the information and training necessary to ensure that its Agents remain fully compliant and professional.

Authorised Agents' Responsibilities

1. Authorised Agents must, in accordance with the terms of NIA policies and their approved Agent Agreement:
 - a. Promote NIA education programs in the Territory as identified in the attached Schedule
 - b. Assist in the recruitment of and recruit prospective students to undertake education programs offered by NIA

- c. Provide prospective students with all necessary information about NIA education programs, facilities, services, policies and procedures
 - d. Provide prospective students with all necessary information about visa requirements and procedures and living in Australia
 - e. Provide prospective students assistance in completing and submitting application forms to NIA
 - f. Arrange necessary English language testing of prospective students under the relevant Australian migration regulations
 - g. Perform any other services and provide reports or information requested by NIA and/or required by this Agreement.
2. In meeting these responsibilities Approved Agents must:
- a. Act at all times in a manner consistent with the Australian International Education and Training Agent Code of Ethics, namely;
 - i. practice responsible business ethics;
 - ii. provide current, accurate and honest information in an ethical manner;
 - iii. develop transparent business relationships with students and providers;
 - iv. provide current and up-to-date information that enables international students to make informed choices;
 - v. act honestly and professionally in the best interests of international students, with objectivity, transparency, confidentiality and integrity.
 - b. Assist to uphold the high reputation of NIA and of the Australian international education sector
 - c. Act in accordance with the policies and procedures of NIA, and directions given by NIA
 - d. Obtain NIA prior written approval for all advertising and promotional materials for NIA and their educational programs
 - e. Accurately inform prospective students about, and the requirements of, the education programs run by NIA using only material provided by and/or approved by NIA:
 - i. programs, including course content and duration, qualifications offered, modes of study;
 - ii. facilities, equipment and learning resources;
 - iii. minimum English language requirements and educational qualifications required for acceptance into the programs;
 - iv. Program fees, charges and refund policy;
 - v. visa requirements which must be satisfied by the student including

- English language proficiency levels;
- vi. living in Australia, including information about campus location, accommodation, transport and the cost of living;
- vii. its subsidiary and associated providers' policies and procedures, including its Refund policies and procedures.
- f. Declare and take all reasonable steps to avoid Conflicts of Interest with its duties as NIA education agent, where such conflicts of interest exist when:
 - i. an agent charges services fees to both overseas students and registered providers for the same service;
 - ii. an agent has a financial interest in a private education provider; or
 - iii. an employee of an education agent has a personal relationship with an employee of the education provider.
- g. Assist prospective students to complete education program applications, taking all reasonable steps to confirm the accuracy of the information provided by prospective students in those applications.
- h. Ensure that only completed, signed applications with all required supporting documentation (originals sighted, verified and stamped) are submitted to NIA.
- i. Provide any Letter of Offer and other documents received on behalf of a prospective or existing student from NIA to the student within 24 hours of receiving those documents.
- j. Ensure that relevant fees and charges accompany each application and acceptance of offer documents .
- k. Assist prospective students to complete visa applications.
- l. Provide NIA with market intelligence relevant to the recruitment of prospective students in their Territory.
- m. Unless NIA otherwise agrees, bear the cost of advertising and promotional activities undertaken by the Representative under this Agreement.
- n. Inform NIA of any change in the Representative's physical address, telephone number, facsimile number or electronic mail address within five working days of such change.
- o. Preserve the confidentiality of:
 - i. all information provided by NIA and prospective and existing students, other than to the extent disclosure is required to perform the Services in accordance with this Agreement, and to the extent disclosure is required to comply with legislative and regulatory requirements; and

ii. the terms of this Agreement.

- p. Terminate any employment, contract or agreement with any employee or Sub-agent if the Representative becomes aware of, or reasonably suspects, dishonest practices, including engaging in false or misleading practices and the deliberate attempt to recruit a student where this clearly conflicts with the obligations of registered providers under the National Code 2018 or any of the other dishonest practices outlined in this Agreement.

3. In meeting these responsibilities, Approved Agents must not:

- a. Engage in any dishonest practices
- b. Provide prospective students with 'migration advice' as defined in the Migration Act 1958 unless that Agent is separately authorised to so act
- c. Suggest to prospective students that they may come to Australia on a student visa or another visa class with a primary purpose other than full-time study
- d. Make any representations or offer any guarantees to prospective students about the likelihood of obtaining a student visa or the required visa class for their course
- e. Facilitate applications for prospective students who do not comply with visa requirements
- f. Use any mark of NIA without prior written consent of NIA
- g. Undertake any advertising or promotional activity about NIA and/or their educational programs without the prior written consent of NIA
- h. Engage in false or misleading advertising, promotional and/or recruitment practices i Give a prospective student inaccurate or misleading information about:
 - i. the Program Fee payable to NIA; and/or ii their acceptance into a Program.
- j. Make any false or misleading comparisons with any other education provider or their programs or make any inaccurate claims regarding any association between NIA and other education providers
- k. Sign or encourage or allow others to sign official documents such as an application form on behalf of a prospective Student or existing Student. (The Student's signature that appears on all official documents must be the same as that which the Student used when signing the NIA application form)
- l. Commit NIA to accept any prospective student into any educational program/s offered by NIA
- m. Receive, withhold or bank the Program Fee payable to NIA by a prospective student or deduct any amount from the Program Fee payable by the prospective student without prior written consent from NIA
- n. Impose any fee on a prospective student for their application or acceptance of

offer unless this fee has been agreed by NIA and brought to the attention of the student prior to the lodgment of any papers.

- o. Actively recruit, or attempt to recruit, Prospective Students that the Representative knows to have engaged the services of another official representative of NIA.
- p. Use or access PRISMS without the prior written consent of NIA.
- q. Use or access PRISMS to create a confirmation of enrolment for other than a bona fide student.

NIA 's Responsibilities

1. In accordance with existing legislative and regulatory requirements, and in accordance with the terms of its signed Agent Agreement NIA must:

- a. Give its Approved Agents sufficient information to enable them to undertake the specified responsibilities.
- b. Assess completed applications from prospective students within a reasonable time of receipt.
- c. Meet the identified training needs of all NIA Approved Agents, including:
 - i. initial training for all newly appointed Agents;
 - ii. annual refresher training for all Agents;
 - iii. information updates and training when there are changes to legislative and/or regulatory requirements, NIA policies and procedures, and/or educational program changes, including fee structures; and
 - iv. corrective training to address any shortcomings in any Agent's performance identified through NIA monitoring procedures.
- d. Monitor its Approved Agents' performance and activities and conduct a biennial performance review prior to the (possible) extension of their Agent Agreement.
- e. Require its Approved Agents to:
 - i. declare in writing and take reasonable steps to avoid conflicts of interests with its duties as NIA education agent;
 - ii. observe appropriate levels of confidentiality and transparency in their dealings with overseas students or intending overseas students;
 - iii. act honestly and in good faith, and in the best interests of the student; and
 - iv. have appropriate knowledge and understanding of the international education system in Australia, including the Australian International Education and Training Agent Code of Ethics.
- f. Formally investigate any reported or suspected cases of any of its Approved

- Agents, their approved subagent and/or staff member behaving in a non-professional, unethical and /or non-compliant manner, including:
- i. acting in a manner which may be negligent, careless or incompetent;
 - ii. being engaged in false, misleading or unethical advertising and recruitment practices;
 - iii. not acting to minimise Conflicts of interest and, when they occur, not declaring those conflicts of interests, and thereby not acting in the best interests of international students;
 - iv. acting in a manner which may be non-compliant with the terms and conditions of their NIA Agent Agreement and Schedule; and/or
 - v. being engaged in false or misleading practices which could harm the integrity of Australian education and training and/or the integrity of NIA programs.
- g. Require its Approved Agents to take immediate corrective action to the satisfaction of NIA, or terminate the agreement with such Agent, if it becomes aware of an Approved Agent, their approved sub-agent and/or staff member behaving in a non- professional, unethical and /or non-compliant manner as indicated in their NIA Agent Agreement and Schedule.
- h. Terminate the agreement with any Approved Agent if it becomes aware of, or reasonably suspects dishonest practices, including engaging in false or misleading practices and a deliberate attempt to recruit a student where this clearly conflicts with the obligations of registered providers under National Code Standard 7 or any of the other dishonest practices outlined above.
- i. Where applicable, pay its Approved Agents Commission as specified in their NIA Agent Agreement, Schedule and Commission Payment Schedule for each student who:
- i. has been recruited by the Representative as defined in Terminating Authorised Agents Agreements below;
 - ii. has been issued with a Confirmation of Enrolment;
 - iii. has paid the tuition Fee to ECA;
 - iv. has enrolled in/commenced the Program; and v who has not, subsequent to commencing the program, been fully refunded the program fees.
- j. Pay the Commission payable under NIA Agent Agreements and Schedules on the dates specified in their Commission Payment Schedule.
- k. Inform its Approved Agents of any change in the physical address, telephone number, facsimile number or electronic mail address of NIA within five working days of such change.

- I. NIA is not required to accept any prospective student referred by the Representative.

Monitoring Authorised Agents' Performance

1. NIA will actively monitor all Approved Agents' performance and implement thorough open and transparent evaluative methodologies with the objectives of ensuring professional behaviour and positive outcomes as measured by the number of potential student referrals and the conversion rate to active enrolments.
2. The actual methods/procedures for monitoring Agents' performance will include:
 - a. The CEO, or nominated person, holding regular Admissions Review Meetings to analyse, in relation to specific Agents' performance criteria:
 - i. the number of student applications and their quality and completeness;
 - ii. the conversion rate of student Applications to CoEs;
 - iii. the incidence of Visa rejection; and iv the conversion rate of CoEs to actual enrolments.
 - b. Identification of, via these Admissions Review Meetings:
 - i. policy and/or procedural areas requiring training and/or possible modification; and/or
 - ii. Approved Agents who may require additional training.
 - c. Where a need is identified, emails, phone calls and Skype conversations with Agents to address specific problems and to notify them of any procedural requirements and changes.
 - d. The application of NIA Student Post Arrival Appraisal of Agent questionnaire, during student Orientation programs. The completed questionnaires will be analysed by responsible Student Services officer and any unusual, critical or negative responses and comments will be referred to the General/Marketing Manager (or CEO) who will decide on subsequent action, including:
 - i. recording the information in the NIA Agent Database;
 - ii. undertaking further investigation of the Agent's conduct; and/or
 - iii. reporting the matter to the CEO
 - e. Annual audits of each Agent's overall performance and approximately one month before the expiry/renewal date of their Agent's Agreement. Audit dates and outcomes will be entered on the NIA Education Agent Checklist.
 - f. Analysis of PRISMS based data on their performance made available under Subsections 175 (3) and (4) of Education Legislation Amendment (Provider Integrity and Other Measures) Act 2017, namely information on the:

- i. “the number of applications for student visas made by or on behalf of students recruited or otherwise dealt with by an agent that have been either granted, refused, withdrawn or are invalid
 - ii. the number of student visas granted to students recruited or otherwise dealt with by an agent that have been cancelled or have ceased to be in effect
 - iii. the number of students accepted for enrolment in courses provided by registered providers by students recruited or otherwise dealt with by an agent iv the completion rates of accepted students recruited or otherwise dealt with by agents.”
3. The General/Marketing Manager (or CEO) will evaluate Approved Agents’ performance against the agreed performance criteria as defined by NIA including:
 - a. acting in a manner which may be negligent, careless or incompetent; being engaged in false, misleading or unethical advertising and recruitment practices;
 - b. not acting to recognise Conflicts of interest and, when they occur, not declaring those conflicts of interests, and thereby not acting in the best interests of international students;
 - c. acting in a manner which may be non-compliant with the terms and conditions of their Agreement and Schedule; and/or
 - d. being engaged in false or misleading practices which could harm the integrity of Australian education and training and/or the integrity of NIA programs.;
 - e. the number of students the Agent has recruited and the conversion rate of student applications to CoEs, the visa rejection rate, the conversion rate from CoEs to actual enrolments and success rate from enrolment to successful completion;
 - f. the reasons, where relevant, for apparently unsatisfactory application numbers, conversion and success rates;
 - g. the satisfaction of students and/or third parties regarding the performance of the Agent;
 - h. the quality, accuracy and currency of information and advice provided by the Agent to students;
 - i. compliance with Australian legislative and regulatory requirements relating to the recruitment of international students; and
 - j. the overall quality and value of the appointment to NIA.
4. The General/Marketing Manager (if applicable) will make a recommendation relating to the renewal of the Agent Agreement, and the CEO will decide whether to:

- a. Renew the Agent's appointment;
 - b. Renew the Agent's appointment for a further period subject to certain conditions; or
 - c. Terminate the Agent's appointment in accordance with procedures for terminating an Agent Agreement.
5. Factors which would contribute to the termination of an Agent's Agreement include
 - a. acting in a manner which may be negligent, careless or incompetent;
 - b. being engaged in false, misleading or unethical advertising and recruitment practices;
 - c. not acting to recognise conflicts of interest and, when they occur, not declaring those conflicts of interests, and thereby not acting in the best interests of international students;
 - d. acting in a manner which may be non-compliant with the terms and conditions of their Agreement and Schedule; and/or
 - e. being engaged in false or misleading practices which could harm the integrity of Australian education and training and/or the integrity of NIA programs;.
 - f. the number of students the Agent has recruited and the conversion rate of student applications to CoEs, the visa rejection rate, the conversion rate from CoEs to actual enrolments and success rate from enrolment to successful completion;
 - g. the reasons, where relevant, for apparently unsatisfactory application numbers, conversion and success rates;
 - h. the satisfaction of students and/or third parties regarding the performance of the Agent;
 - i. the quality, accuracy and currency of information and advice provided by the Agent to students;
 - j. compliance with Australian legislative and regulatory requirements relating to the recruitment of international students; and
 - k. the overall quality and value of the appointment to NIA .
6. As an integral part of NIA Complaints and Appeals Policies and Procedure complaints made by students and/or other parties about the recognise and practices of any of its Authorised Agents will be formally investigated and acted upon.
7. Where there appear to be grounds for concern, the CEO will send a NIA Authorised Agent a warning email to the Agent:
 - a. specifying the nature of and grounds for the concern;

- b. indicating the consequences of failing to satisfy NIA that they had not acted unprofessionally; and
 - c. requesting a response within 10 business days of receipt of the letter (an extension to the time limit may be granted on application).
8. If the Agent responds to NIA Authorised Agent warning email within the set time frame, the NIA CEO will evaluate the response to the complaint, considering:
 - a. a the substance of the initiating complaint and its investigation;
 - b. the Agent's responses;
 - c. the known performance history of the Agent; and d other relevant information.
9. Depending on the conclusions drawn from the investigation, the CEO may:
 - a. maintain the Agent's appointment;
 - b. maintain the Agent's appointment subject to certain conditions;
 - c. suspend the Agent 's appointment, making re-appointment subject to training and agreement to comply with certain defined conditions; or
 - d. terminate the Agent's appointment immediately.
10. The outcome of the formal investigation will be recorded in the Agent's File.
11. If the CEO should find that a complaint made about an Agent's recognise was vexatious, and if the complainant was a current NIA student, the CEO will refer the issue to the NIA's General/Marketing Manager for formal investigation and, depending on the outcome of the investigation:
 - a. the student may be placed on probation;
 - b. the student's enrolment may be suspended for a set duration; or
 - c. the student's CoE may be cancelled and the student reported to DET via PRISMS.
12. The outcome of the formal investigation will be recorded in the student's file.

Renewing Authorised Agents Agreements

1. If, following completion of the Agent Audit, the NIA's CEO is satisfied that the Agent has operated professionally and ethically, has effectively represented NIA 's interests, and has referred significant numbers of prospective students in the best interests of those students to NIA 's programs and courses, with satisfactory conversion and success ratios, a three year renewal of the Authorised Agent Agreement may be offered to the Agent.
2. NIA's CEO or their nominee will complete a new NIA Agent Agreement, updated to include any new legislative and regulatory requirements, and send two copies to the Agent's head office under a NIA's Authorised

email.

3. If the Agent accepts the terms of the Agreement, they will sign both copies and return them to NIA's CEO.
4. NIA's CEO will counter-sign the two original Agent signed copies of the Agreement, retain one of the two and return the second to the Agent, along with a new NIA Authorised Agent Certificate.
5. The new Agreement will be filed on the Agent's file and any necessary changes made to the NIA's Agents Database and NIA's website.

Terminating Authorised Agents Agreements

1. Should NIA at any time become aware of an Agent acting in breach of Monitoring Agent Clause 3 above, it shall take immediate corrective and preventative action, where:
 - a. Corrective action may include providing additional information/material or targeted training in, for example, the expectations of the provider; and
 - b. Corrective action may also include termination of the agreement with the education Agent;
 - c. Preventative action could include training sessions for Agents and ensuring they have all the material they need to represent the provider accurately and professionally.
2. If, following completion of the final Audit of an existing Authorised Agent Agreement, the NIA's CEO has reasonable grounds for believing or suspecting that an Agent has not performed satisfactorily in terms of the criteria identified in Monitoring Agents Clause 5 above, the CEO may send a NIA Authorised Agent warning email:
 - a. specifying the grounds for concern;
 - b. indicating the consequences of failing to satisfy NIA that there had been no examples of unprofessional conduct; and/or
 - c. indicating NIA's concerns about the Agent's referral and conversion rates; and
 - d. requesting a response within 10 business days of receipt of the email (an extension to the time limit may be granted on application).
3. If the Agent responds to NIA's Authorised Agent warning email within the set time frame, NIA's CEO will evaluate the performance of the Agent, taking into account:
 - a. the Agent's response to the email;
 - b. whether the Agent engaged in Unprofessional Conduct;
 - c. the Agent's referral and conversion rates; and d other relevant considerations.
4. Depending on the conclusions drawn from the performance evaluation outlined

above, the CEO may:

- a. renew the Agent's appointment;
 - b. renew the Agent's appointment subject to certain conditions such as;
 - i. requiring the Agent to undertake further training;
 - ii. requiring the Agent to improve their referral, conversion and success rates.
 - c. suspend the Agent's appointment, making re-appointment subject to agreement to comply with certain defined conditions; or d terminate the Agent's appointment immediately.
5. Termination of an Agent's Agreement will be automatic if the CEO knows, or, based on reasonable grounds, concludes that the Agent has or most probably has engaged in criminal conduct, and the Agent's known or suspected actions will be reported to the relevant authorities.
6. If the Agent identifies the cause of a recognised breach as being one of their employees or sub-agents, provides demonstrable evidence to support this and takes immediate action to dismiss the responsible employee and/or terminate the sub-agent's agreement, NIA's CEO may decide to retain that Agent, but may also require that the Agent undertakes additional training as specified by NIA's CEO.
7. Termination or non-renewal of an Authorised Agent's Agreement will be most probable in cases of demonstrable or highly suspected:
- a. noncompliance with the Authorised Agent Agreement;
 - b. referral of unsatisfactory number of students recruited, conversion, visa rejection and success rates;
 - c. a lack of adequate and sufficient reasons for the unsatisfactory application numbers, conversion and success rates;
 - d. unacceptable levels of student and/or third-party satisfaction with the performance of the Agent;
 - e. inadequate representation or misrepresentation of NIA, programs, courses and services to students;
 - f. evidence of non-compliance with Australian legislative and regulatory requirements relating to the recruitment of international students;
 - g. demonstrated grounds for believing that an Agent engaged in unethical, unprofessional and/or criminal conduct in representing NIA; and
 - h. unsatisfactory overall quality and value of the appointment to NIA.
8. When NIA's CEO decides to terminate or not renew an Authorised Agent's appointment:
- a. the decision and reasons will be conveyed to the Agent in question and the

- termination will take place when the Agent is formally served that notice;
- b. DET and DHA will be notified of the termination and the grounds for the termination if the termination resulted from suspected criminal conduct;
 - c. details relating to the audit and decision will be entered on the Agent's file
 - d. the Agent's name will be removed from the NIA Agent Database and Website;
 - e. the Agent's students will be notified of the termination/non-renewal, and invited to submit a NIA Change of Agent Request Form; and
 - f. NIA will ensure that no further referrals and applications will be accepted from the terminated Agent.
9. On termination of an Authorised Agent Agreement, the agent must:
- a. submit all applications and fees from prospective students received up to and including the termination date;
 - b. cease all promotional activity on behalf of NIA;
 - c. submit no further student applications; and d immediately cease using any advertising, promotional or other material supplied by NIA and return all material to NIA by registered mail or a reputable international courier.¹
10. All commission payments owing to an Agent whose Agreement is terminated or not renewed from fee payments made by their clients prior to the expiry date of their Agreement will be honored.
11. If an Authorised Agent Agreement is terminated on the basis demonstrated or reasonable suspected unethical, unprofessional and/or criminal recognised, the CEO will inform the CFO and Finance team and NIA reserves the right to immediately cease payment of agent commission fees which would otherwise become payable from the date of termination.
12. If this Agreement is terminated or not renewed on a basis other than demonstrated or reasonable suspected unethical, unprofessional and/or criminal recognised (such as inadequate referrals, applications and conversions) the CEO will inform the CFO and Finance team and NIA reserves the right to will immediately cease payment of agent commission fees which would otherwise become payable from the date of termination.
13. The termination of this Agreement by either party does not affect any accrued rights or remedies of either party.
14. Any decision to terminate an Authorised Agent Agreement and the reasons for it may be disclosed to other parties, including the government departments and agencies and the Agent's employer, but in accordance with privacy legislation and regulations.

Change of Agents

1. NIA seeks to ensure that its students have every opportunity for effective, ethical and professional representation by approved agents, and a parallel commitment to ensuring security of investment for approved agents allocating resources to secure enrolments for NIA.
2. In practical terms this means that NIA recognises the legitimacy of students wanting to change agents in some circumstances, but NIA also seeks to ensure that constraints are placed on this right to provide checks on frivolous, vexatious and/or frequent student-initiated changes in agents.
3. In situations where NIA terminates or fails to renew an Authorised Agent's Agreement, the CEO will ensure that the students represented by that Agent are sent a letter informing them of the termination/non-renewal of the Agent's Agreement, and asking them to complete and submit a Change of Agent Request Form, identifying a new Agent from the list of Authorised Agents published on the NIA's website.
4. For all potential and enrolled students with an Authorised Agent Agreement, NIA will facilitate a change of agent for those with a Letter of Offer but no Confirmation of Enrolment, but it will not allow a change of agent once a student has been issued a Confirmation of Enrolment.
5. In situations in which a potential student issued with a Letter of Offer wants to change their Agent for reasons other than the termination of their agent's Authorised Agent Agreement, that student must complete and submit:
 - a. a NIA Change of Agent Request Form identifying their preferred new Agent, with appropriate documentation; and
 - b. a Release Email or Acknowledgement from the student's existing Agent; or, where such a letter is not available,
 - c. a statement identifying how remaining with their existing Agent would not be in their best interests.
6. On receiving such an application, a check will be undertaken to see if the proposed new agent is one of NIA's Authorised Agents. If the preferred new agent is not a NIA Authorised Agent the application will be rejected.
7. If the applicant provides a Release/Acknowledgement Email from their agent and/or demonstrates, to the satisfaction of the General/Marketing Manager, that their best interests are not served by their remaining with their existing agent, and the proposed new agent is a NIA Authorised Agent, the General/Marketing Manager will approve the change.
8. In situations where the student has no existing CoEs for study with NIA will agree with the requested change providing it is in no way

detrimental to the student's wellbeing.

9. Once a change of agent has been approved and is scheduled to be implemented as specified above, the General/Marketing Manager will:
 - a. write to the existing Agent to inform them of NIA's approval of the student-initiated change of agent and informing them of their on-going commission payment entitlements.
 - b. Write to the new Agent to inform them of NIA's approval of the student-initiated change of agent and informing them of their future commission payment entitlements.
 - c. make the necessary changes to the NIA Agents Database;
 - d. organize (through the Finance team) all commission payments due to the student's existing agent; and
 - e. arrange for commission payments related to subsequently provided CoEs to be made to the student's new agent.

10. NIA Responsibilities

The CEO and if applicable the General/Marketing Manager are to ensure all requirements of this Policy and Procedure are met. The General/Marketing Manager is to ensure all staff and students adhere to NIA's Policies and Procedures. The General/Marketing Manager, with direct access to the CEO, has the responsibility to ensure that NIA complies with all of the statements and processes included in this document and maintains these standards across all of the areas of operation of NIA.

7. Version Revisions

12 months from the date of this version, or as required.

8. Review Date

Version Number	Date	Reason for change	Prepared By	Approved By
V.3	22/06/2024	Updated and improved	RTO Manager (SC)	CEO (HH)